



## OPTICAL, MICROSTRUCTURAL, FUNCTIONAL AND NANOMECHANICAL PROPERTIES OF *Aloe vera* GEL/GELLAN GUM EDIBLE FILMS

## PROPIEDADES ÓPTICAS, MICROESTRUCTURALES, FUNCIONALES Y NANOMECAÑICAS DE PELÍCULAS COMESTIBLES DE GEL DE *Aloe vera*/GOMA GELANO

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### Abstract

Edible films of *Aloe vera* gel (Al), gellan gum (Ge) and their blend (AlGe) were prepared by the casting method and dried in a conventional oven. Optical, microstructural, functional and nanomechanical properties were evaluated. The films elaborated had adequate optical properties to be used in foods; AlGe showed higher values of transparency (6.5), total color difference (5.4) and extinction coefficient (0.052) than the Al and Ge; however, intermediate gloss (34.4) and refractive index (1.53) values were obtained for AlGe, maybe promoted by chemical interactions between *Aloe vera* and gellan gum. Microscopy and image analysis techniques were used to evaluate the microstructure of pure and blend films; the interactions due to the crosslinked among the polysaccharides of the blend were elucidated by atomic force microscopy. Water sorption capacity (-0.42 %/min) and water vapor permeability (21.3 g·mm/d·m<sup>2</sup>·kPa) of AlGe were enhanced as compared to Al and Ge; besides the hardness (2.3 MPa) and elastic modulus (0.1 GPa) of the blend at nanometric level was reinforced with the gellan gum addition. The present research could be helpful to understand the blending effect on the property-structure-functionality relationships of edible films with potential use in food industry.

**Keywords:** edible films, *Aloe vera*, gellan gum.

### Resumen

Se prepararon películas comestibles de gel de *Aloe vera* (Al), goma gelana (Ge) y su mezcla (AlGe), se utilizó el método de vaciado y se secaron en un horno convencional. Se evaluaron las propiedades ópticas, microestructurales, funcionales y nanomecánicas. Las películas elaboradas tuvieron propiedades ópticas adecuadas para su uso en alimentos; AlGe mostró altos valores de transparencia (6.5), diferencia total de color (5.4) y coeficiente de extinción (0.052) en comparación con la Al y Ge. Sin embargo, se obtuvieron valores intermedios de brillo (34.4) e índice de refracción (1.53) para AlGe; debido a las interacciones químicas entre *Aloe vera* y la goma gelano. Las técnicas de microscopía y el análisis de imágenes se usaron para evaluar la microestructura de películas puras y en mezcla; las interacciones debido al entrecruzamiento entre polisacáridos se elucidaron por medio del microscopio de fuerza atómica. La capacidad de absorción de agua (-0.42 %/min) y la permeabilidad al vapor de agua (21.3 g·mm/d·m<sup>2</sup>·kPa) de la AlGe se mejoraron con respecto a la Al y la Ge. Así como, a nivel nanométrico, la dureza (2.3 MPa) y el módulo elástico (0.1 GPa) de la mezcla se reforzó con la adición de goma gelano. La presente investigación podría ser de ayuda para entender el efecto del mezclado en las relaciones propiedad-estructura-funcionalidad de películas comestibles con potencial uso en la industria alimentaria.

**Palabras clave:** películas comestibles, *Aloe vera*, goma gelano.

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## 1 Introduction

The global market of *Aloe* primary products is valued around the 65 million dollars and more than 200 thousand million dollars in final products, such as lotions, beverages and medical supplies (Piña and Morales, 2010). *Aloe* gel is located in a zone between the abaxial and adaxial mesophyll of *Aloe vera* plant. It is a viscous liquid inside the cells and organelles of parenchyma tissue (Domínguez-Fernández *et al.*, 2012). The gel contains 95.4% water and 4.6% of total solids, which 60 % of that total solids are mucilaginous polysaccharides, mainly glucomannan that is responsible of some functional properties of the *Aloe* such as cohesivity, swelling, water retention capacity, fat adsorption capacity, gelation capacity, among other (Rodríguez-González *et al.*, 2012; Sittikijyothin *et al.*, 2005).

*Aloe* gel is also used as an edible cover as it has been applied to fruits to retard color changes, weight loss and softening. In some cases *Aloe* gel allows to keep high levels of total antioxidants and ascorbic acid in covered food products (Castillo *et al.*, 2010). Alternatively, the biopolymers are used to create edible films that are applied as barriers to preserve and delay food deterioration. Recently researchers have been dedicated to create edible films that improve the food properties such as color, texture, flavor and overall appearance (Abugoch *et al.*, 2011; Bergo *et al.*, 2010). However, *Aloe* gel films have high water permeability and some barrier lacks. Therefore, mixtures with some compounds (cellulose, gelatin, etc.) have been studied in order to improve water and gases diffusion of films (Saibuatong and Phisalaphong, 2010).

Gellan gum is also a well-known biopolymer for its functional characteristics such as high hardness and transparency, low water vapor permeability and smooth surfaces. Chemical structure of gellan is formed by repeated units of tetrasaccharides and the addition of very small quantities (around 0.5% w/v) to films enhances mechanical and barrier properties; mainly in presence of mannans where it creates a highly organized structure and compacted network of biopolymers (Miyoshi, 2007).

Furthermore, to design and create functional edible films from pure components and blends, it is necessary to evaluate optical, physical, mechanical and microstructural properties in order to associate with the functionality and structure of edible films (Miranda *et al.*, 2010). Thus, Mu *et al.* (2012), Zhang and Zhang (2012) and Porter and Felton

(2010) describe a series of experimental techniques to determine physical, mechanical, adhesive, thermal and permeability properties on the edible films. On the other hand, the nanoindenter is a novel tool in the biological area, used usually in materials science to evaluate film-coated products (Wei and Lin, 2005). Porter and Felton (2010) used a nanoindenter as an alternative to assess elastic modulus and hardness, the gloss determination using the glossmeter and the permeability by the cup method and concluded that those techniques allow the complete optimization and design of edible films.

The selection criteria for edible films are mostly based on their barrier and mechanical properties, especially in water vapor permeability (WVP) and elastic modulus (Romero-Bastida *et al.*, 2011; Kechichian *et al.*, 2010; Yener *et al.*, 2009). The edible films that show the lowest values of WVP are selected as adequate films to apply in food industry and the elastic modulus also is considered as an important property of films (Villagómez-Zavala *et al.*, 2008; Ayranci and Tunc *et al.*, 2003). On the other hand; optical and microstructural properties have been relatively less reported in scientific literature, however the determination of those properties is equally important and in some research studies is critical for the final analysis of functional characteristics of edible films (Liu *et al.*, 2012; Abugoch *et al.*, 2011). For this reason, the aim of this work was to evaluate the optical, microstructural, and nanomechanical properties of *Aloe vera* gel/gellan gum edible films to understand the interactions and influence of the components on the functionality of pure and blend films.

## 2 Materials and methods

### 2.1 Procedure of films formation

*Aloe vera* gel (*Aloe* Jaumave SA de CV, México) with 3.3 % total solids content, as measured by refractometric method at 20°C (Abbe refractometer, Ermal optical Works, Japan), and gellan gum powder (catalog number: G1910) were used to make the edible films. Gellan gum was selected as support component to prepared edible films due to improvement of optical, barrier and mechanical properties (Tapia *et al.*, 2008; Lau *et al.*, 2001), such as transparency, water vapor permeability and high tensile strength (Tang, 1998; Pranoto *et al.*, 2007; Lee *et al.*, 2004). Glycerol (catalog number: G9012) was employed as plasticizer to obtain freestanding *Aloe vera* and blend films.

Gellan gum and glycerol were analytic grade and were provided by Sigma-Aldrich (USA).

*Aloe vera* solution were heated at 60 °C and then added with 1% v/v of glycerol while agitating with a magnetic stirrer (Barnstead International, USA) for 15 minutes. Gellan gum solution (0.5% w/v) was prepared with distilled water preheated at 90 °C, without glycerol and stirred until total homogenization (30 minutes) with the same magnetic stirrer aforementioned. Blend solution was formed by a mixture of *Aloe vera* and gellan gum solutions at a volume ratio of 1:1 and stirred for 25 minutes. Films formulation were chosen based on several reports about elaboration of edible films (Banerjee and Bhattacharya, 2011; Carneiro-da-Cunha *et al.*, 2010; Chen *et al.*, 2010; Lau *et al.*, 2001; Lee *et al.*, 2004) and the volume ratio of blend films was selected in order to evaluate a formulation with a similar proportion of components. In contrast with *Aloe* films, the gellan films could be obtained without glycerol addition, because they were freestanding films and easily removable from container where these films were formed. Fifteen grams of edible films solutions were poured into glass Petri dishes of 60 × 15 mm (Pyrex, USA) and for film formation the solutions were dried in an oven (Shel Lab GI6, USA) at 60 °C until constant weight, according by Ramachandra and Srinivasa Rao, (2008). After drying, the formed films were placed in an automatic desiccator (Bel-Art Products 420721115, USA) at ambient temperature and 15% relative humidity (RH) which according to Ramachandra and Srinivasa (2009) is the RH value appropriate for moisture homogenization of film samples; after 24 hours the samples were removed from the desiccator and then analyzed. Thus, three films were prepared and named as follow, Al (*Aloe vera* film), Ge (gellan gum film) and the blend of AlGe (*Aloe vera*/gellan gum film).

## 2.2 Optical properties

### 2.2.1. Transparency

Film transparency was estimated by using modified ASTM D1746-97 (ASTM, 2000c) method and according the procedure reported by Nadarajah (2005) with a spectrophotometer (Genesys 10-S, Thermo Fischer Scientific, USA). Film samples were cut into rectangular shapes and placed on the interior of the spectrophotometer cell. Transparency was calculated with the equation proposed by Hans and Floros (1997):

$$Tp = \frac{A_{600}}{b} \quad (1)$$

where  $A_{600}$  is the absorbance at 600 nm and  $b$  is the thickness of the film (nm). Thickness was measured with an automatic micrometer (Fowler, IP54, China) with 0.2 μm of accuracy.

### 2.2.2. Color

Color parameters in CIELab color space were directly estimated with CR-400 colorimeter (Konica-Minolta, Sensing Inc., USA) with D65 light source, 0° and aperture diameter of 8 mm. Films samples (50 mm × 25 mm) were placed on a white standard plate (white calibration standard plate 19633130) and read to obtain the spectra data. Total color difference ( $\Delta E$ ) was calculated with the equation described by Monedero *et al.* (2008):

$$\Delta E = \sqrt{(\Delta L^*)^2 + (\Delta a^*)^2 + (\Delta b^*)^2}$$

where  $\Delta L^* = L^* - L_0^*$ ,  $\Delta a^* = a^* - a_0^*$ ,  $\Delta b^* = b^* - b_0^*$ , being  $L_0^*$ ,  $a_0^*$ ,  $b_0^*$  the color parameter values of white standard plate and  $L^*$ ,  $a^*$ ,  $b^*$  the color parameter values of the film samples.

### 2.2.3. Refractive index and extinction coefficient

An ellipsometer (UVISEL LT M200 AGMS, Yvon Horiba, France) was used to determined the refractive index ( $n$ ) and extinction coefficient ( $k$ ) of edible films according to the method reported by Murray and Dutcher (2006) and Nosal *et al.* (2005). The spectral range applied was 450 nm to 650 nm with an angle of incidence of 70° in three different areas selected randomly.

### 2.2.4. Gloss

The gloss parameter of the edible films was measured with a glossmeter (MG268-F2, KSJ, China) according the norm ASTM D523 (1999) and the method described by Villalobos *et al.* (2005). The films were cut (75 mm x 25 mm) and placed onto the black glass standard (Serial number F21010611) and read directly on the film surface at different incidence angles (20°, 60° and 85°). Results were expressed as gloss units (GU) referring to the black glass standard with a value approximately of 100 gloss units.

## 2.3 Microscopy studies

### 2.3.1. Light microscopy (LM) and image analysis

The microstructure surfaces of the edible films were visualized in a light microscope (Nikon Eclipse 50i, Japan). Film cuts of 2.5x2.5 cm were placed on a microscope slide and observed at 10x magnification at five different zones randomly. Images were captured with a CCD camera (Nikon DS-2Mv, Japan) and saved in RGB color and TIFF format by using the Nis Elements software (F2.30, Nikon, Japan). The acquisition conditions of images were always the same for all samples (exposure time: 1/1000 s, gain of 1.0 and contrast in enhanced mode).

Texture image analysis was applied to quantitatively characterize the surface microstructure of edible films. The image texture is a characteristic representing the spatial arrangement of the gray levels of pixels of the image (Arzate-Vázquez *et al.*, 2012). In this work two texture features were selected as parameters of study (Homogeneity: Hm and Entropy: En). Homogeneity, also called inverse difference moment is a measure of the local homogeneity of the image, higher values can be associated to smooth surfaces. Entropy measures the disorder or randomness of images, and can be used to characterize the image texture. It is an indication of the complexity within an image, so the more complex images, the higher entropy values. Thus, the images obtained from light microscopy were converted from RGB color to gray-scale images; subsequently, Gray Level Co-Occurrence Matrix (GLCM) algorithms were applied to obtain texture features from gray scale images (Haralick *et al.*, 1973). According to Haralick *et al.* (1973), with the GLCM algorithm is possible to calculate fourteen texture parameters. However, we only considered useful: homogeneity and entropy as the other parameters could be redundant as reported recently (Mendoza *et al.*, 2007; Meraz-Torres *et al.*, 2011; Arzate-Vázquez *et al.*, 2012). The whole image analysis methodology was carried out using the software ImageJ v 1.34s (National Institutes Health, Bethesda, MD, USA). Thus, entropy measures the disorder or randomness of gray scale images and homogeneity is the measure of local variations of gray level values of images pixels (Yang *et al.*, 2000; Arzate-Vázquez *et al.*, 2012).

### 2.3.2. Environmental scanning electronic microscopy (ESEM)

ESEM system (XL 30, Philips, USA) was employed to visualize the overall morphology of film surfaces. This microscopy allows the essays of wet biological materials without previous preparation (Arzate-Vázquez *et al.*, 2012). Thus, films of 4 mm x 4 mm were fixed on the sample holder with double-sided carbon tape, without metallic conductive cover, and observed under ESEM system. Micrographs were captured at 300x magnification in gray-scale using a voltage of 25 kV and finally stored at TIFF format in similar conditions reported by Quintanilla-Carvajal *et al.* (2011).

### 2.3.3. Atomic force microscopy (AFM)

AFM allows the analysis of the surface topography at nanometric levels and makes possible the creation of 3D models showing in minor detail the microstructure of the sample such as interaction and arrangement of polymeric components. AFM (Multimode IV NanoScope, Veeco, USA) in tapping mode were applied to the films using SiN<sub>4</sub> tip (Yang *et al.*, 2007). Scanning area was 1µm × 1µm and the micrographs were analyzed for surface roughness (Ra) with the software NanoScope v 7.30 (Veeco, USA). Ra values and 3D surfaces were obtained from the height images.

## 2.4 Functional properties

### 2.4.1. Water sorption capacity

Water sorption capacity (WSC) of films was evaluated by weighing and soaking the samples for 30 minutes in phosphate buffered saline solution (PBS, pH 7.4) at room temperature according to the methodology proposed by Nadarajah (2005). After soaking time, the films were removed from the PBS solution and the excess was gently wiped off from the surface of the film with a piece of filter paper and immediately weighed. The percent of water sorption capacity (%) of films was calculated from the equation reported by Çaykara and Turan (2006):

$$WSC = \frac{W_s - W_D}{W_D} * 100$$

where,  $W_s$  is the weight of the swollen film after 30 minutes and  $W_D$  is the weight of the dried film.



## 2.4.2 Water vapor permeability

Water vapor permeability (WVP) was obtained from a variant of the ASTM, E 96-80 (1989) gravimetric method (permeability cup). The experimental units employed in this determination were reproduced with some modifications from the method proposed by Bosquez-Molina *et al.* (2003). These authors defined the WVP in terms of the differences of the specific partial pressure and relative humidity of the saturated solutions, the stagnant air, the measures of height and wide of the desiccator and as well as permeability cups dimensions.

Metallic permeability cups (MPC) were used to determine the WVP edible films. The MPC (13-338, Fisher/Payne, USA) height ranks of 1.2 cm and cross section area of 10.5 cm<sup>2</sup>. A desiccator with flat top and a total height of 16 cm and diameter of 25.2 cm was used in the experiments. The distance between the MPC and the flat top of the desiccator was 11.3 cm.

A saturated KNO<sub>3</sub> solution with a relative humidity of 93 % was used as the inner solution of the MPC and a NaCl solution with relative humidity of 75 % was poured in the lower part of the desiccator. The MPC was filled with the KNO<sub>3</sub> solution until reaching 0.6 cm of the vessel height and an edible film with a known weight was mounted on the upper side of the MPC. Once the edible film was set up, the MPC-sample system was weighed and put into the desiccator. The MPC-sample system was weighed every hour until reaching constant weight. The water vapor transmission rate (WVTR) was the first data acquired, a regression analysis were performed in terms of the modified formula reported by Wang *et al.* (2011):

$$WVTR = \frac{W_s}{ta} \quad (2)$$

where in Eq. (1) is water vapor transmission rate,  $W_s$  is the weight loss of the sample,  $t$  represents the treatment time and  $a$  is the cross section area exposed of the film.

Once the WVTR was calculated, the WVP was corrected by means of the methodologies reported by Gennadios *et al.* (1994) and Bosquez-Molina *et al.* (2003):

$$WVTR_C = WVTR_M \left( \frac{P_{KNO_3} - P_{Over}}{P_{NaCl} - P_{Under}} \right) \quad (3)$$

$$WVP_M = \left( \frac{WVTR_M x}{P_{KNO_3} - P_{Over}} \right) \quad (4)$$

$$WVP_C = \left( \frac{WVTR_C x}{P_{NaCl} - P_{Under}} \right) \quad (5)$$

where in Eq. (2)  $WVTR_C$  and  $WVTR_M$  are the corrected and measured water vapor transmission rate, respectively. In Eqs. (3) and (4)  $WVP_C$  and  $WVP_M$  are the corrected and measured water vapor permeability, respectively. While,  $P_{KNO_3}$  and  $P_{NaCl}$  are the partial pressures of the saturated solutions;  $P_{Over}$  and  $P_{Under}$  are the partial pressures of the films on the surface and underside the film, respectively and  $x$  is the film thickness. The partial pressures  $P_{KNO_3}$ ,  $P_{NaCl}$ ,  $P_{Over}$  and  $P_{Under}$  were calculated with the geometrical data of the experimental unit correlating the distance of the MPC and the desiccator to the solutions of KNO<sub>3</sub> and NaCl according by Bosquez-Molina *et al.* (2003). The error percentage (% Error) was evaluated by using Eq. (5).

$$\%Error = \left( \frac{WVP_M - WVP_C}{WVP_C} \right) * 100 \quad (6)$$

## 2.5 Nanomechanical properties

Nanomechanical properties were characterized as Carneiro-da-Cunha *et al.*, (2010) using a nanoindenter (Nanoindentation Tester NHT, CSM Instruments, Switzerland) which contains a Berkovich diamond tip to penetrate the sample and generate load-depth curves as is plotted in Fig. 1. The shape of the Berkovich indenter tip is a three-sided pyramid (Fig. 1). Oliver-Pharr method was used for calculated the nanomechanical properties (Fischer-Cripps, 2006). Sections of films were cut and mounted with glue on cover slips.

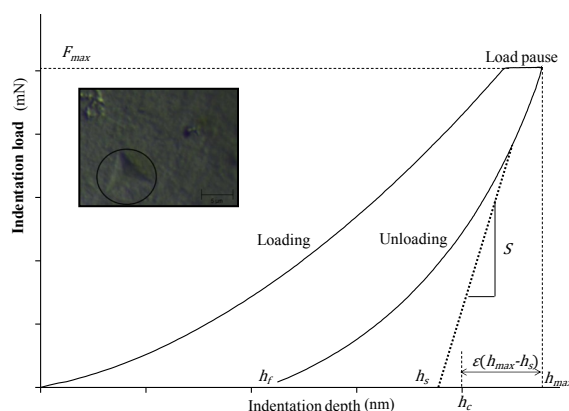


Fig. 1. Typical nanoindentation curve (Indentation load vs. indentation depth) and image of the three-sided pyramid mark produced by the Berkovich indenter in gellan gum film. See text for annotations.

Test variables used were: maximum load of 2.5 mN, loading and unloading rate of 7.5 mN/min and pause of 35 seconds. Before testing, the instrument was calibrated with a fused silica calibration standard.

Hardness (Hd) and elastic modulus (Em) values were obtained from acquired load-depth curves based on the geometric of the Berkovich indenter mark (Fig. 1). The following equations were used to obtain those nanomechanical parameters:

$$F = K(h - h_p)^m \quad (7)$$

$$h_c = h_{\max} - \varepsilon(h_{\max} - h_s) \quad (8)$$

$$A_p = C_0 h_c^2 + C_1 h_c + C_2 h_c^{1/2} + \dots + C_8 h_c^{1/128} \quad (9)$$

where in Eq. (6);  $F$  is the force,  $h$  is the depth,  $h_p$  is the plastic depth and  $K$  and  $m$  are constants. The Eq. (7) describes the contact depth  $h_c$ , in terms of the depth  $h_s$  calculated from the intercept of the depth axis by the tangent line to the unloading curve and the  $\varepsilon$  constant value of 0.25 for Berkovich and Vickers indenters (Lucca *et al.*, 2010). And finally, Eq. (8) were used to calculate " $A_p$ " that refers to the projected area of contact of the indenter estimated in  $h_c$ , where the  $C_0, \dots, C_8$  are the machine compliance values in eight consecutive indentations upon a quartz piece of reference.

When the variables in Eqs. (6), (7) and (8) are calculated, the hardness and the elastic modulus can finally be estimated with the Krumova *et al.* (2002) and Oliver and Pharr (2004) equations. For the hardness:

$$Hd = \frac{F_{\max}}{A_p} \quad (10)$$

Where in Eq. (9)  $Hd$  is the hardness,  $F_{\max}$  the maximum test force and  $A_p$  is described in Eq. (8). The elastic modulus is given by the two successive equations:

$$E_r = \frac{S \sqrt{\pi}}{2 \sqrt{A_p}} \quad (11)$$

$$Em = \frac{(1 - \nu^2)}{\frac{1}{E_r} - \frac{(1 - \nu_i^2)}{E_i}} \quad (12)$$

where  $E_r$  is the reduced modulus,  $S$  is the initial unloading stiffness in the load-depth curve and  $A_p$

from the Eq. (8). When Eq. (10) is solved, the  $Em$  is finally estimated with the Eq. (11) where  $Em$  is the elastic modulus,  $\nu$  is the Poisson's ratio for polymeric samples estimated in 0.35 (Krumova *et al.*, 2002) and  $\nu_i$  and  $E_i$  are the Poisson's ratio of 0.07 and elastic modulus of 1141 GPa of the indenter (Lavorgna *et al.*, 2010), respectively. All calculus, hardness and elastic modulus were estimated by means of the nanoindenter software.

## 2.6 Statistical analysis

All the parameters were expressed as the mean value and its corresponding standard deviation. Three replicates were used in all experiments. One-way Analysis of Variance (one-way ANOVA) was applied to compare statistically the data; followed by a Tukey multiple comparison test (Montgomery, 1991) with software SigmaStat 3.5. Significant differences were considered when  $P < 0.05$ . The plots were generated using the SigmaPlot software version 10.0.

## 3 Results

### 3.1 Optical properties

In Table 1, values of transparency (Tp) at 600 nm of the films are shown. It is noticeable that, when films were opaque Tp values increased. Ge sample resulted the most transparent film (4.1) followed by Al (4.9) and AlGe (6.5). The AlGe had a higher transparency value, than the one for hydroxylpropyl methyl cellulose blend films (Tp values of around 2) as reported by Jutaporn *et al.*, (2011). According with Mu *et al.*, (2012) the addition of dialdehyde carboxymethyl cellulose in gelatin edible films decrease the transparency, this fact may be due to crosslinking of relatively long polysaccharides in the structure of films. In Fig. 2, a photograph of the three films is shown and by visual inspection is noticeable that Al presented an appearance more opaque and heterogonous than AlGe and Ge, while Ge was the most transparent and homogenous film in comparison with the other films and intermediate characteristics of transparency and homogeneity are also observed in AlGe.

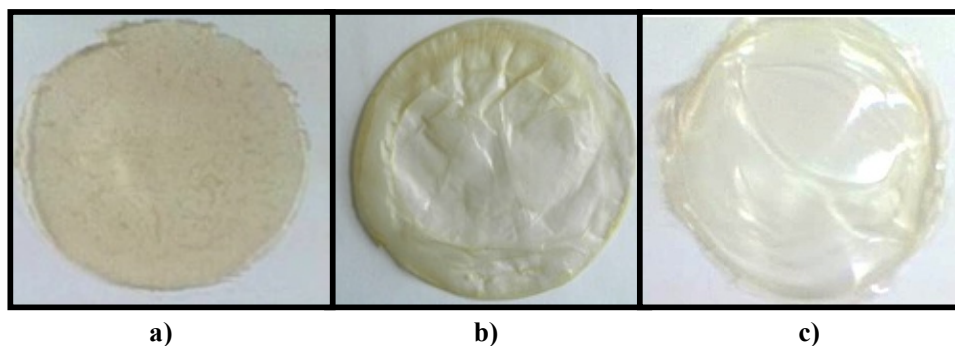


Fig. 2. Films appearance, a) *Aloe vera* film (Al); b) *Aloe vera* /gellan gum blend (AlGe) and c) gellan gum film (Ge).

Table 1. Transparency (Tp), total color difference ( $\Delta E$ ), Hardness (Hd) and elastic modulus (Em) of films.

Film	Tp	$\Delta E$	Hd (MPa)	Em (GPa)
AlGe	6.5 $\pm$ 0.03 a	5.4 $\pm$ 0.3 a	2.3 $\pm$ 0.2 a	0.1 $\pm$ 0.03 a
Al	4.9 $\pm$ 0.01 b	4.6 $\pm$ 0.1 b	0.9 $\pm$ 0.2 b	0.05 $\pm$ 0.01 b
Ge	4.1 $\pm$ 0.03 c	4.3 $\pm$ 0.2 b	171.9 $\pm$ 5.2 c	2.02 $\pm$ 0.02 c

Values (mean  $\pm$  standard deviation,  $n = 5$ ) in the same column with the same letters are not significantly different ( $P > 0.05$ ). *Aloe vera* film (Al); *Aloe vera* /gellan gum blend (AlGe) and gellan gum film (Ge).

Tp results showed a similar tendency to  $\Delta E$  values (Table 1).  $\Delta E$  values of Al and Ge oscillated from 4.3 to 4.6 and it was not observed significant differences ( $P > 0.05$ ). Previous works reported  $\Delta E$  values close to 4.9 for *Aloe* gel layer dried with hot air (Femenia *et al.*, 2003). In the case of AlGe, the  $\Delta E$  value increased in comparison with Al and Ge, even though the  $\Delta E$  value of AlGe corresponded to colorless films according with the  $\Delta E$  values reported by Maria *et al.*, (2008) for blends of gelatin and five different types of polyvinyl alcohol. This change can be associated to hydrogen bonds between the polysaccharides of blend (Alves *et al.*, 2011).

Moreover, the Tp and  $\Delta E$  values also can be related with the extinction coefficient ( $k$ ), while  $k$  depends directly on the light absorption, consequently  $k$  could be correlated with the opacity. Thus, higher  $k$  values can be associated to high film opacity (Jung and Kim, 2008). The Fig. 3 shows the  $k$ -wavelength plot, where the  $k$  values for Ge remain invariants (from 0.037 to 0.036) trough spectrum range studied and the lowest values of  $k$  compared with the other films indicates the lowest value of opacity (Banerjee *et al.*, 2011). Al was more opaque (from 0.041 to 0.045) than the Ge and consequently the  $k$  values increased, while

AlGe was the most opaque film (from 0.047 to 0.052). These results suggest that the chemical interactions between gellan gum and *Aloe vera* could influence the optical properties in the blend film (Banerjee and Bhattacharya, 2011; Lau *et al.*, 2001).

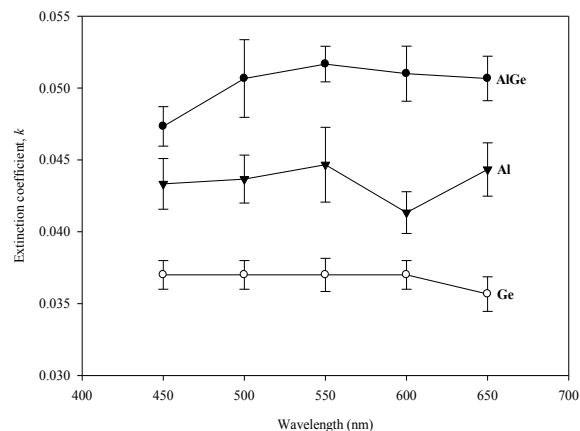


Fig. 3. Values of extinction coefficient ( $k$ ) of films at different wavelength. *Aloe vera* film (Al); *Aloe vera* /gellan gum blend (AlGe) and gellan gum film (Ge). Each point is an average of 5 determinations and the error bars represent the standard deviation.

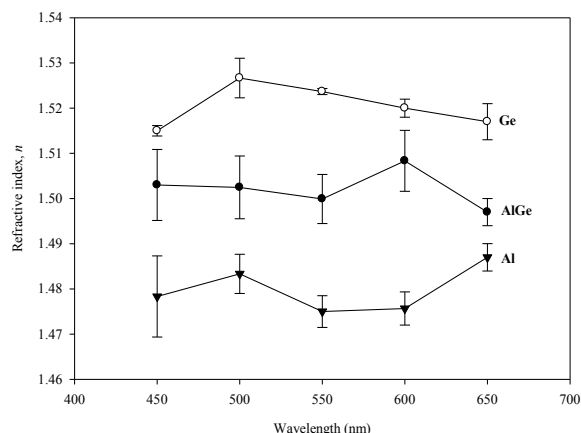


Fig. 4. Values of refractive index ( $n$ ) of films at different wavelength. *Aloe vera* film (Al); *Aloe vera* /gellan gum blend (AlGe) and gellan gum film (Ge). Each point is an average of 5 determinations and the error bars represent the standard deviation.

Hence,  $TP$ ,  $\Delta E$ , and  $k$  can be associated with the transmission and absorption of light; for this reason the AlGe showed a high opacity in comparison with Ge and Al. Thus, the high opacity of AlGe could be attributed to a major crosslinking between the mannans of *Aloe vera* and the tetrasaccharides units of gellan gum (Miyoshi, 2007).

Fig. 4 shows the refractive index ( $n$ ) in function of wavelength, where  $n$  is the numerical representation of light propagation through a media and the resulting deflection is an important optical property in foods, especially in packing (Illiger *et al.*, 2009); The  $n$  values showed a tendency to remain constant. Thus, the Ge showed the highest values of  $n$  (from 1.52 to 1.53) due to the alignment of the polymeric chains, in comparison with the Al (from 1.48 to 1.49) where the inner amorphous structure could be larger. On the contrary, the AlGe showed intermediate values of refractive index (from 1.50 to 1.53) due that to the blend could have a medium amorphous state (Liu *et al.*, 2007). The  $n$  values obtained were similar to those reported by Jones (2010) for chitosan films ( $n = 1.5$ ).

In the case of the gloss measurements (Fig. 5), the tendency was similar to the  $n$  values obtained. The glossiest film was the one elaborated with Ge, with very high values at all angles, especially at 60° (124 GU); this value was comparable to those obtained with films prepared with whey protein isolates, as they can reach 144 GU at 20° of light incidence (Lee *et al.*, 2008). Gloss results depends on the illumination incidence angle, having been observed that medium values of gloss are effectively evaluated at 45°, while

lower gloss values are better assessed when using higher angle values. This has been attributed to the enhancement of specular reflection when the incidence angle increases (Fabra *et al.*, 2011), for this reason is necessary to evaluate the gloss at different angles. Therefore, the Al and AlGe did not show significant difference ( $P > 0.05$ ) in gloss at 20° and 85° however at 60° is clear the separation of gloss values for films. As a result at 60°, the glossiest film was the one prepared with AlGe (34.4 GU) in comparison with Al (24.9 GU), where the gloss is improved in AlGe when the gum is added (Ward and Nussinovitch, 1997).

In overall, the optical properties (transparency, color,  $n$ ,  $k$  and gloss) determined in the films, can be associated with their polymeric structure and chemical composition of the biopolymer used. For instance gellan gum have a alignment structure in long chains of tetrasaccharides that provide structural support and homogeneity to the films, while the mannans of *Aloe vera* provide a heterogonous and amorphous structure to the films. In the blend films these properties are combined and middle optical properties were observed in blend films; chemical interaction as hydrogen bonds and aldol condensation between carbonyl of the acetyl groups of mannans and carboxyl groups of tetrasaccharides could occur, promoted by blending and drying process. Thus, gellan gum provides transparency, gloss and structural support, while *Aloe vera* confers opacity, color and an amorphous structure to films when these components are blended.

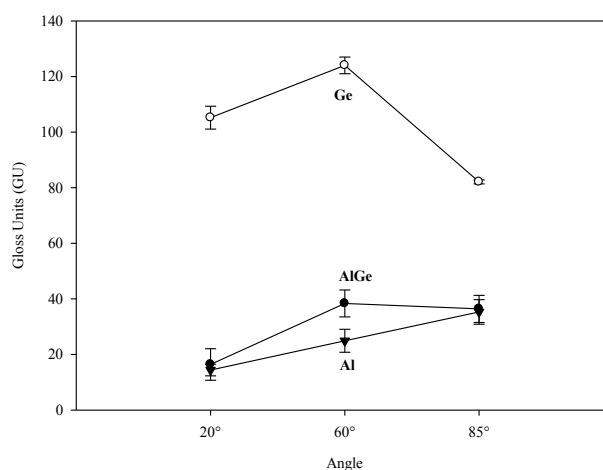


Fig. 5. Gloss units of films at 20°, 60° and 85° angles. *Aloe vera* film (Al); *Aloe vera* /gellan gum blend (AlGe) and gellan gum film (Ge). Each point is an average of 5 determinations and the error bars represent the standard deviation.



Consequently, light properties as transmission ( $k$ ) and refraction ( $n$ ) also was modified by structural and chemical interaction between the biopolymers used for elaboration of films.

### 3.2 Microscopy studies and image analysis

Fig. 6 shows LM and ESEM images of the films microstructure. In overall, Al presented the roughest structure followed by AlGe and Ge. In LM, the Al film showed rough surfaces associated to parts of cellular fibers, wall cell and cytoplasm (Domínguez-Fernández *et al.*, 2012). While Ge presented the smoothest surface and homogenous microstructure, that can be attributed to the ordered arrangement of the tetrasaccharides in its polymeric chains (Dentini *et al.*, 2001). AlGe showed a less rough surface than Al due to a minor content of cellular elements and also for the combination of the polymeric compounds of Al and Ge. To evaluate surface microstructure of the films image texture analysis provides parameters to measure homogeneity and disorder or complexity of images (Yang *et al.*, 2000). Fig 6 shows the values of entropy (En) and homogeneity (Hm) for the LM images, these parameters express quantitatively the topography characteristics of the films micrographs. Consequently, Hm parameter indicates that Ge has the highest homogeneity (0.29), and the lowest entropy (6.87). With regard to Al, the En was high (9.24) in comparison with the other films, therefore the film is more heterogeneous with a Hm value of 0.07. While, AlGe showed intermediate values of En (8.13) and Hm (0.15), that can be attributed to the combination of the organized structure of gellan gum and amorphous arrangement of *Aloe vera*, which yields structuring in the AlGe. A previous work showed that the En and Hm were similar to chitosan, alginate and blend films (Arzate-Vázquez *et al.*, 2012).

In ESEM images (Fig. 6), the films microstructure was similar to those observed in LM. In Al micrographs the surface is very heterogeneous and several fissures and cracks were observed; the heterogeneity composition of *Aloe vera* and the drying process could promote these irregularities. In opposite, the microstructure of Ge showed a homogenous surface and some fibrillar folding due to polymeric matrix of gellan gum. Finally, AlGe showed a surface with multiple fibrillar folding and branched forms apparently due to the drying process and the blending of the materials. Similar structures were found in a composite film of *Aloe vera*/cellulose,

where the structure became more heterogeneous with abundant gel on the film surface (Saibuatong and Phisalaphong, 2010).

Images at major resolution were obtained by AFM; with this technique a small scan area ( $1\mu\text{m} \times 1\mu\text{m}$ ) can be studied. AFM resolve nanometric structures in the films (Fig. 7) and it allows observing some polymeric interactions (Fernández-Pan *et al.*, 2010). The AFM images were evaluated by Ra parameter, this value was indicated at the top of each LM image (Fig. 4). Under AFM the Al exhibited a highly rough and non-uniform structure in comparison with the Ge, which is highly ordered and showed an aligned and homogeneous arrangement. Furthermore; the characteristic structure of Ge is probably induced by the interaction between its functional groups when the gelation is occurring in gellan solutions. The channeling pattern is especially noticeable in the 3D image in Ge (Funami *et al.*, 2008). In addition, a very smooth surface is reflected in the Ra value (1.5 nm) which is small in comparison with other films, such as chitosan or alginate edible films (Arzate-Vázquez *et al.*, 2012). A highly heterogeneous surface raises the average of Ra values for Al (26.4 nm) in comparison with that of Ge.

Regarding AlGe, it had a microstructure with complex arrangement and fibrillar elements, although it still contains a relative homogenous topography as compare to Al. AFM images at nanometric resolution suggested that the blended structure can be related to the linking of the constituents rather than the effect of the drying process, maybe associated to gel-forming by means of the direct interactions of the two polymers of the blend (Oakenfull, 1991). AlGe topography images provided a Ra value of 9 nm and fibrillar structures with an average wide of 30 nm. This fact suggests the presence of non-covalent connecting bridges and matrix polysaccharides with the mannan and tetrasaccharides molecules (Moreira and Filho, 2008). Also, it is possible that the drying process promote covalent bonds between biopolymers by means of aldol condensation.

Microstructural studies provide useful information to explain the changes in optical, functional and nanomechanical properties. LM observations can be associated to overall appearance of films and with the optical properties; the blend films showed a microstructure that combines the homogenous structure of gellan gum and amorphous arrangement of *Aloe vera*. In consequence the transparency, color and gloss are influenced by structural and chemical interactions between the biopolymers used.

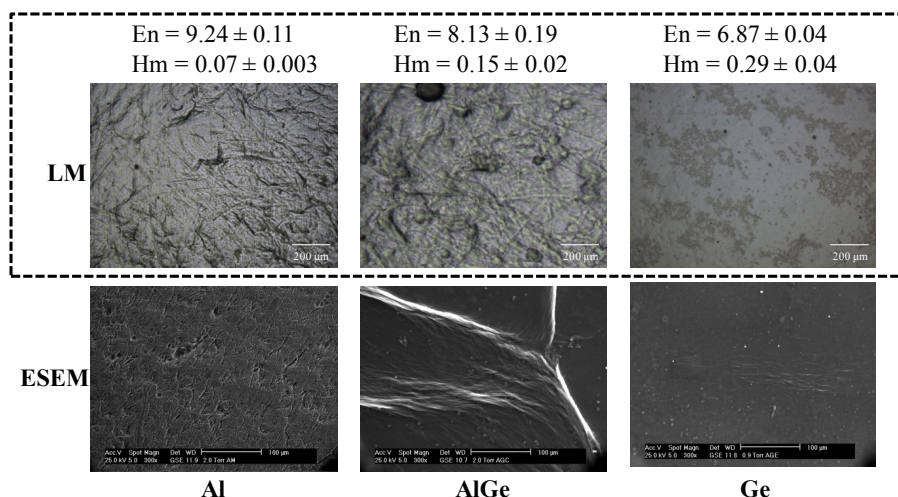


Fig. 6. Films micrographs obtained by LM (10x) and ESEM (300x). Square with dashed line corresponding to entropy (En) and homogeneity (Hm) values evaluated from LM images. *Aloe vera* film (Al); *Aloe vera* /gellan gum blend (AlGe) and gellan gum film (Ge).

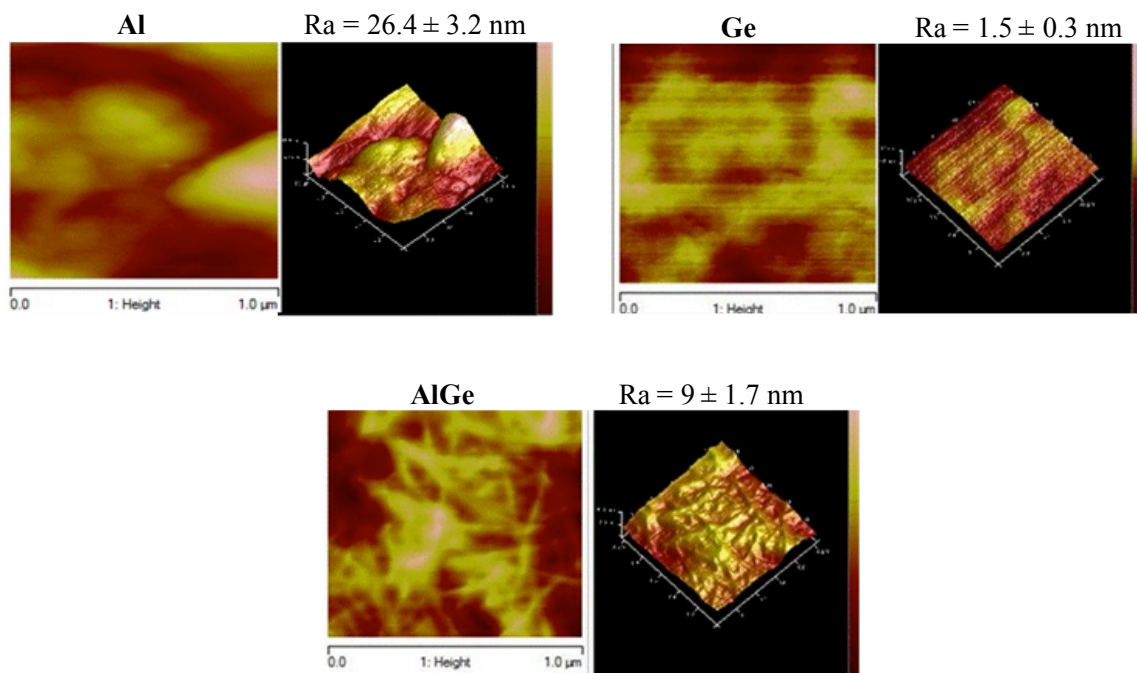


Fig. 7. AFM topographic images and 3D-topographic plots of films. Scan size ( $1 \mu\text{m} \times 1 \mu\text{m}$ ) and roughness values (Ra) are indicated. *Aloe vera* film (Al); *Aloe vera* /gellan gum blend (AlGe) and gellan gum film (Ge).

Also, the effects of drying process and the structural interaction between biopolymers were more evident in ESEM images. From AFM images, it is possible to observe the structural interaction of biopolymers in the blend; and a descriptive structural model can be proposed. Thus, the globular and amorphous

matrix of *Aloe vera* was reinforced structurally by the aligned polysaccharides chains of the gellan gum, these promote an external and internal cross-linking in the blend films. Covalent and non-covalent chemical bonds could be responsible of the structural changes in AlGe films and the values obtained in optical,

functional and nanomechanical properties are affected as well by those bonds. The combined influence of the blended and the drying process could explain the changes on the functional and mechanical properties in the blend films. Their effects are described in following sections.

### 3.3 Functional properties

Water sorption capacity (WSC) as function of time for edible films is described in the Fig. 8, where Ge film showed positive WSC values, indicating a high capacity of water uptake, maybe due to swelling phenomenon. The Ge incremented WSC trough time, thus in 30 minutes WSC went from 0 % to 795 % and WSC rate was of around 23 %/min. The high WSC of Ge is associated to the arrangement of the gellan carbohydrate which develops a sponge like structure in the double helicoidal conformation of the gellan gum that allows the fast water uptake (Adachi, 2002; Dentini *et al.*, 2001). Comparing with N-isopropylacrylamide hydrogel (Çaykara, 2004) and chitosan films (Nadarajah, 2005), the Ge displays low swelling values.

Regarding Al, negative WSC values were observed, probably due to the high film solubility, as it was rapidly dissolved into the buffer and the integrity of the film completely lost after 30 minutes. Thus, negative WSC values trough time and a WSC negative rate of -3.3 %/min were found for Al. It has been reported for mucilaginous materials and some edible films, that disintegration usually occurs when the sample is wetted, for instance okra gum is dissolved in 24 minutes (Ikoni and Obiageli, 2010), hibiscus mucilage in 90 minutes (Padmakumari *et al.*, 2011), and films made from pullulan mucilage have the property to dissolve quickly, as a result freestanding pullulan films are used to fight halitosis (Barkalow *et al.*, 2002).

Concerning AlGe, the WSC slightly diminished

trough time, and when the AlGe is put into the buffer solution no swelling occurs. When the experiment time concluded, the AlGe appearance remained intact and an almost imperceptible raise was noticed on the film surface. For AlGe a decrement of 13 % in 30 minutes on WSC and a negative rate of -0.42 %/min were observed. This behavior can be explained from the interaction occurred between carbohydrates contained in the Aloe and gellan, which creates intricate networks that restrict the water absorption and provide partial impermeability to the film. This fact coincides with the cross-linked structure observed in the AFM micrographs. In other studies, carboxymethyl cellulose/gelatin blends presented a minimum swelling ratio of 151 % (Mu *et al.*, 2012). Therefore, the AlGe provide interesting functional properties that could be attractive to cover food with high humidity.

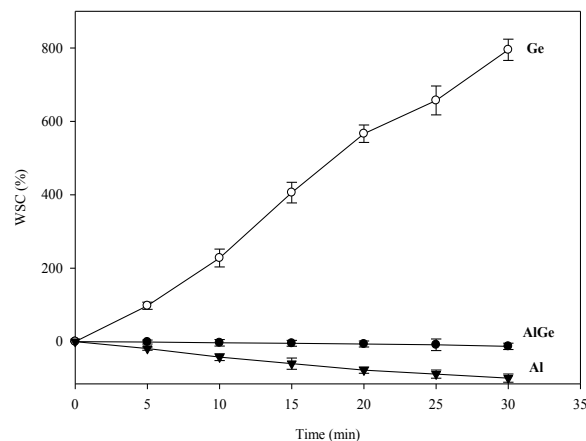


Fig. 8. Water sorption capacity (WSC) of films as a function of time. *Aloe vera* film (Al); *Aloe vera*/gellan gum blend (AlGe) and gellan gum film (Ge). Each point is an average of 5 determinations and the error bars represent the standard deviation.

Table 2. Thickness, water vapor permeability measured ( $WVP_M$ ) and corrected ( $WVP_C$ ) of films. Error percentage between  $WVP_M$  and  $WVP_C$  (Gennadios *et al.*, 1994).

Film	Thickness ( $\mu\text{m}$ )	$WVP_M$ (g·mm/d·m <sup>2</sup> ·Pa)	$WVP_C$ (g·mm/d·m <sup>2</sup> ·Pa)	Error (%)
AlGe	58.3±2.2 a	25.2±3.6 a	21.3±3.5 a	15.2
Al	54.4±1.7 b	56.7±2.7 b	46.9±2.3 b	17.3
Ge	53.1±1.1 b	21.7±4.2 a	16.8±3.7 a	22.8

Values (mean  $\pm$  standard deviation,  $n = 5$ ) in the same column with the same letters are not significantly different ( $P > 0.05$ ). *Aloe vera* film (Al); *Aloe vera*/gellan gum blend (AlGe) and gellan gum film (Ge).

The barrier properties of edible film, as  $WVP_c$  and thickness, help to determine their possible final application, which in many cases include covering high moisture foods (Rojas-Graü *et al.*, 2008). Thus,  $WVP_c$  values and thickness of films are presented in Table 2, also can be observed that  $WVP_M$  showed high errors in a range of 15.2-22.8 % with respect to  $WVP_c$ . It is recommendable to report the corrected WVP values, because the classical methods overestimate the water vapor permeability as has been mentioned by Gennadios *et al.* (1994) and Bósquez-Molina *et al.* (2003). Thickness remained similar for the Al and Ge samples, oscillating from 53.1 to 54.4  $\mu\text{m}$  and not significant differences were found ( $P > 0.05$ ); However, AlGe was thicker (58.2  $\mu\text{m}$ ) than the other films ( $P < 0.05$ ). The interaction between polymers and the drying process could have induced the thickening of the blend film (Ghasemlou *et al.*, 2011; Chen *et al.*, 2010).

Al exhibited very high values of  $WVP_c$  (46.9  $\text{g}\cdot\text{mm}/\text{d}\cdot\text{m}^2\cdot\text{kPa}$ ), which means that the film allowed the diffusion of high amounts of water vapor. High  $WVP_c$  is commonly found in films made from hydrogels or soluble polysaccharides as *Aloe* and gellan gum. For instance, mesquite gum films with a thickness of 152  $\mu\text{m}$  reach a WVP of 59.31  $\text{g}\cdot\text{mm}/\text{d}\cdot\text{m}^2\cdot\text{kPa}$  (Bosquez-Molina *et al.*, 2003) or alginate films (49  $\mu\text{m}$ ) a WVP of 146.8  $\text{g}\cdot\text{mm}/\text{d}\cdot\text{m}^2\cdot\text{kPa}$  (Olivas and Barbosa-Cánovas, 2008).

$WVP_c$  for Ge sample was 16.8  $\text{g}\cdot\text{mm}/\text{d}\cdot\text{m}^2\cdot\text{kPa}$ , that is 23.8 % less than Al. The low  $WVP_c$  values of Ge can be explained based on the double-helix conformation of the gellan gum which creates a complex structure that moderately restricts the free permeation of water vapor (Takahashi *et al.*, 2004). Some authors have reported several WVP values for gellan films and coatings in ranges of 315.3 to 422.4 and 21.84 to 34.32 with variable thickness of 170 to 178  $\mu\text{m}$  and 80 to 90  $\mu\text{m}$ , respectively (Tapia *et al.*, 2008; Yang 1997). Yang *et al.*, (2010) reported WVP values for gellan films treated with calcium from 7.2 to 30.96  $\text{g}\cdot\text{mm}/\text{d}\cdot\text{m}^2\cdot\text{kPa}$  and 30  $\mu\text{m}$  to 65  $\mu\text{m}$ , respectively, this report coincide with  $WVP_c$  value of Ge obtained in the present work.

While, AlGe  $WVP_c$  value was around 21.3  $\text{g}\cdot\text{mm}/\text{d}\cdot\text{m}^2\cdot\text{kPa}$ ;  $WVP_c$  values of Ge and AlGe had not significant difference ( $P > 0.05$ ); this fact indicated an improvement of  $WVP_c$  that is achieved when *Aloe vera* gel was mixed with gellan. The low value of  $WVP_c$  found for AlGe could be explained by chemical interactions between mannans of *Aloe vera* and D-glucuronic acid of gellan gum that could create a

self-crosslinked structure in the polysaccharides blend (Dentini *et al.*, 2001), which diminishes the water vapor flux into the film. Microstructural evidence of the polysaccharides crosslinking can be seen in the AFM results (Fig. 7). Comparing with other protein-based films AlGe has minimal  $WVP_c$ ; for example, films from wheat gluten, corn zein and pistachio globulin had WVP values from 95.9 to 48.3, from 32.8 to 50.9, and from 55.4 to 96.2  $\text{g}\cdot\text{mm}/\text{d}\cdot\text{m}^2\cdot\text{kPa}$ , respectively (Park *et al.*, 1994; Zahedi *et al.*, 2010). In this regard, Mikkonen *et al.*, (2010) also reported that the galactoglucomannans-based films had lower WVP (26  $\text{g}\cdot\text{mm}/\text{d}\cdot\text{m}^2\cdot\text{kPa}$  and thickness around 40  $\mu\text{m}$ ).

### 3.4 Nanomechanical properties

Fig. 9 shows the curves obtained by the nanoindenter, the three films showed different load-depth curves and Table 1 provides the Hd and Em values estimated for edible films. The Ge reached the maximum force at short displacement and Hd and Em values were high (171.9 MPa and 2.02 GPa, respectively) in comparison with Al and AlGe. The lack of glycerol in the Ge created a hard film, for that reason high Hd and Em values were obtained; therefore, Ge was not elastic and cracked easily. The Hd values found for Ge were similar to those reported for chitosan films (119-180 MPa) without glycerol (Wang *et al.*, 2005; Lavorgna *et al.*, 2010).

With respect to Al, the Berkovich indenter showed a deeper penetration into the film to reach the maximum load as compared to the other samples, consequently the load pause was larger and the unloading curve was more inclined. Therefore the Hd decreased to values around 0.9 MPa and the Em diminishes to 0.05 GPa, thus, Al can be describe as a soft and highly flexible film. The nanoindentation curve for AlGe was similar to Al, however the maximum force was reached with less displacement; the load pause was shorter than the one in Al and the load and unload curves had a less acute angle than the Al. The blend of the two polymers in AlGe enhances its nanomechanical properties with regard to the Al in around 40 % for Hd and in around 50% for Em. Nanoindentation technique allows to measure mechanical properties at nanometric levels, thus can be suggested that the reinforcement of these properties in AlGe could be attributed to a polysaccharides nanonetwork with cross-links on the films (Vachon *et al.*, 2003; Del-Valle *et al.*, 2005). Arzate-Vazquez (2011) fabricated alginate/chitosan films and found by nanoindentation technique, Hd and Em values around



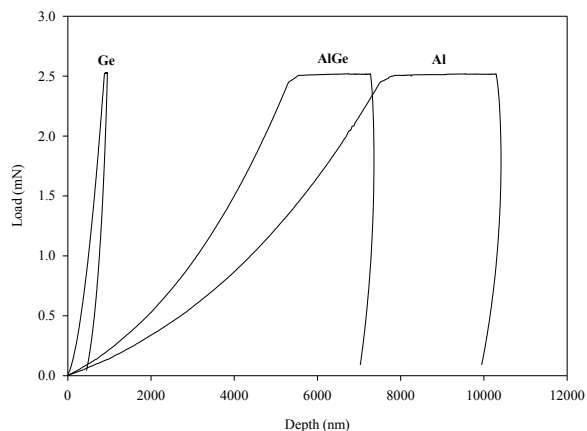


Fig. 9. Nanoindentation curves of films as a function of load and depth. *Aloe vera* film (Al); *Aloe vera* /gellan gum blend (AlGe) and gellan gum film (Ge). Each curve is an average of 5 indentations performed on three samples of each type of film.

16.2 MPa and 0.21 GPa; in contrast, AlGe was less hard (2.3 MPa) and more elastic (0.1 GPa).

## Conclusions

Al, Ge and AlGe showed acceptable optical properties to be used as edible films, due to their values are similar to those edible films reported in other works. AlGe showed a major opacity and a higher difference in color. However, AlGe has an adequate appearance for its use in food industry. Also AlGe acquired the optical characteristics of the pure components, presenting intermediate gloss and  $n$  values in comparison with Al and Ge; maybe due to chemical interactions of *Aloe vera* and gellan gum.

Microstructural studies and image analysis were successful for evaluating the complexity, homogeneity and roughness of films. Furthermore, microscopy images were useful to evaluate the microstructural changes due to blending effect of pure components and drying process. AFM observations provided structural evidences of crosslinking between the polysaccharides of *Aloe vera* and gellan gum.

Chemical and structural interactions occurring in AlGe improved its functional properties, conferring some water impermeability in comparison with Al and water vapor transmission rates similar to those obtained for Ge. Additionally, the nanomechanical properties of AlGe were enhanced with respect to Al and Ge. Thus, the actual work could be a guide to

design and characterize pure and blended edible films with novel functional properties.

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